

2nd Annual Anti-Bribery & FCPA Compliance Summit

Anti-Corruption

South Asia Summit

29 September – 1 October 2009, Intercontinental Singapore

Featuring
more corporate
case studies
than ever!!

Over 18 Corporate Speakers will Share their Compliance Programmes & Implementation Tips, Including:

- **George Ghines**, Founder & Allied Partner, **ALLIED EXPERTISE**
- **Andrew Martin**, Principal, **BAKER & MCKENZIE.WONG & LEOW**
- **Evan Rogers**, Operations Counsel – Indonesia, **BAKER HUGHES***
- **Ela Bochenek**, Associate General Counsel – International, **C.R. BARD***
- **Arjun Scindia**, Senior General Counsel, **GENERAL ELECTRIC**
- **Hui Mei Kuok**, Senior Compliance Counsel, **GE CAPITAL ASIA**
- **Harun Reksodiputro**, Associate Principal, **HADIPUTRANTO, HADINOTO & PARTNERS**
- **Chris Leslie**, VP & General Counsel, **HITACHI DATA SYSTEMS**
- **Jasmine Karimi**, President, **HKCCA**
- **Jane Niven**, Regional General Counsel Head of Legal & Compliance Asia Pacific, **JONES LANG LaSALLE**
- **Scott Weber**, Assistant General Counsel & Director Legal Affairs, **JUNIPER NETWORKS**
- **Anusuya Yogarajah**, VP Legal Compliance, **MARSH**
- **Duc Trang**, Lead Counsel, Asia Pacific, **MOTOROLA**
- **Encik Mohd. Shah bin Hashim**, Head of Legal, **NESTLE**
- **Nicola Bonucci**, Director, Directorate for Legal Affairs, **OECD***
- **Caitlin Barrah**, General Counsel South Asia Pacific, **ROCKWELL AUTOMATION**
- **Vikram Singh**, Chief Compliance Officer, **GE CAPITAL ASIA**
- **Kenny Mok**, Regional Compliance Officer, **SIEMENS***
- **John Bradfield**, Regional Compliance Counsel Asia Pacific, **SYNGENTA**
- **Anshuman Sharma**, Chief Legal & Regulatory Affairs Officer, **TATA SKY**
- **Gaurav Jabulee**, Legal Counsel – Asia, **TEXAS INSTRUMENTS**
- **Scott Lane**, Director & Principal, **THE RED FLAG GROUP**
- **David Karas**, Senior Director of Compliance, **HITACHI DATA SYSTEMS**
- **Hank Walther**, Fraud Section Assistant Chief, **US DEPARTMENT OF JUSTICE***
- **Andre Gan**, Associate Principal, **WONG & PARTNERS**

*Invited

CONFERENCE HIGHLIGHTS:

INTERVIEW WITH THE REGULATORS

A unique opportunity to put your questions to the major Anti-corruption Law Enforcement Agencies in South Asia. Representation invited from: **Singapore, India, Pakistan, Thailand, Philippines, Indonesia & Malaysia**

CASE STUDIES

This year's programme will also look beyond the regulations and focus on how to implement anti-corruption compliance policies in South Asia. Leading MNCs operating in South Asia will cover:

- Specific red flags in South Asia
- How you can start to build a best-practice anti-bribery programme
- How to deal with bribery demands
- Regional business practices - how to implement a global policy in South Asia
- How to entertain public officials without violating the FCPA
- Conducting due diligence on local partners
- How to set up internal controls to manage FCPA compliance
- Working with a compliance monitor
- How to manage your compliance documentation
- Auditing and monitoring your compliance programme
- Best practice training and communication programmes
- Effectively managing an internal investigation
- How to deal with the aftermath of an investigation

THREE IN-DEPTH PRE-CONFERENCE WORKSHOPS

- (A) **International & Regional Anti-Bribery Laws & Compliance Challenges**
(B) **"Off the Record" Anti Bribery Forum** (C) **Working with Enforcement Agencies**

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Main Conference Agenda DAY 1 30 SEPTEMBER 2009

08:30 Registration & Coffee

09:00 Welcome & Opening Remarks by the Chairperson

09:10 **FCPA Review: International Anti-Bribery Law Enforcement in Asia**

- Latest FCPA and anti-bribery news, cases, developments and trends
- Discussion & questions

Hank Walther, *Fraud Section Assistant Chief, US DEPARTMENT OF JUSTICE**

09:50 **Compliance in the 21st Century: Other Global Anti-Corruption Efforts**

- OECD Convention on Combating the Bribery of Foreign Public Officials in International Business Transactions
- Comparison with UN Convention against Corruption, focusing on parties' obligations as they affect companies and individuals undertaking business abroad

Nicola Bonucci, *Director, Directorate for Legal Affairs, OECD**

10:20 **Lessons Learnt from Recent FCPA Cases**

- What changes have been occurring in 2009 and what is the significance for your compliance programmes?
- What lessons can be learnt from recent FCPA cases concerning Asia
- Forecast for 2010

Jane Niven, *Regional General Counsel Head of Legal & Compliance Asia Pacific, JONES LANG LaSALLE*

Ela Bochenek, *Associate General Counsel – International, C.R. BARD**

11:00 Refreshment Break

11:30 **South Asian Regulatory Initiatives on Anti-Corruption and Enforcement**

During a special interview session with the major Regulators in South Asia, you will discover:

- Latest case and regulatory update and forecast for 2010
- Is the focus of the Asian Regulators changing?
- If so, what are the implications?

Please submit your questions for the panel prior to the event to hsanders@BeaconEvents.com

Panelists:

Farrukh Moriani, *Secretary, FEDERAL OMBUDSMAN OF PAKISTAN*

MALAYSIAN ANTI-CORRUPTION COMMISSION

Under Invitation:

ANTI-CORRUPTION BUREAU, BRUNEI

ASIAN DEVELOPMENT BANK

CORRUPT PRACTICES INVESTIGATION BUREAU, SINGAPORE

CORRUPTION ERADICATION COMMISSION, INDONESIA

NATIONAL COUNTER CORRUPTION COMMISSION, THAILAND

OFFICE OF THE OMBUDSMAN, PHILIPPINES

TRANSPARENCY INTERNATIONAL

WORLD BANK

12:30 Lunch

13:30 **PANEL SESSION: Special Focus on India**

Panelists:

Arjun Scindia, *Senior General Counsel, GENERAL ELECTRIC*

Anshuman Sharma, *Chief Legal & Regulatory Affairs Officer, TATA SKY*

Gaurav Jabulee, *Legal Counsel – Asia, TEXAS INSTRUMENTS CENTRAL VIGILANCE COMMISSION, INDIA**

14:00 **How a Regulator Builds a Case**

- The mechanics of Regulatory enforcement
 - How do the Regulators hear about potential infringements?
 - How are the cases started? How are they progressed? How do they build a case?
 - What international involvement is obtained from other jurisdictions?
 - What is the likely timeline for completion of an investigation?
- What this means for your anti-bribery compliance programme and investigation procedure

14:30 **Starting your Anti-Bribery Programme**

- What are the main features of any programme?
- What essential areas should be considered?
- How to change behaviour and get your business teams involved

Jasmine Karimi, *President, HKCCA*

Vikram Singh, *Chief Compliance Officer, GE CAPITAL ASIA*

15:00 Refreshment Break

15:20 **Dealing with Bribery Demands**

- How to recognise a facilitating payment
- How to distinguish between a bribe and a reasonable payment
- Examples and case studies

Gaurav Jabulee, *Legal Counsel – Asia, TEXAS INSTRUMENTS*

Encik Mohd. Shah bin Hashim, *Head of Legal, NESTLE*

15:50 **Audit & Monitoring: An Essential Step in Anti-Bribery Compliance**

- Where to start your audit programme
- Should it be done internally or externally?
- What role does internal audit have?
- What should the remedial action from an audit look like?

Hui Mei Kuok, *Senior Compliance Counsel, GE CAPITAL ASIA*

Caitlin Barrah, *General Counsel South Asia Pacific, ROCKWELL AUTOMATION*

16:20 **How to Set up Internal Controls to Manage FCPA Compliance**

- How to meet books & records requirements
- FCPA and Sarbanes Oxley - the intersection
- Using electronic information effectively to improve compliance
- How to ensure that your document retention policy works properly

Chris Leslie, *VP & General Counsel, HITACHI DATA SYSTEMS*

David Karas, *Senior Director of Compliance, HITACHI DATA SYSTEMS*

Scott Lane, *Director & Principal, THE RED FLAG GROUP*

17:00 Close of Day One

A good opportunity to learn from the experience of others and also good networking opportunity

Kenny Cheung, UTC Fire & Security Asia

The networking and sharing of ideas amongst attendees was very different to other conferences I have attended in Asia. It was great !!! Well done.

Alison Hill, Starbucks

Main Conference Agenda DAY 2 1 OCTOBER 2009

08:30 Refreshments

09:00 Opening and Summary of Day One by the Chairperson

09:10 **Anti-Bribery Policy: Gifts, Hospitality & Travel for Officials in South Asia**

- Developing a simple and flexible policy that works in Asia
- How to balance legal risks with business needs
- How much is 'too much'? – Setting dollar limits
- What are the 'no go' areas?
- Basic rules and examples to implement
- Lessons learned from recent cases

John Bradfield, *Regional Compliance Counsel Asia Pacific, SYNGENTA*

Scott Weber, *Assistant General Counsel & Director Legal Affairs, JUNIPER NETWORKS*

Evan Rogers, *Operations Counsel – Indonesia, BAKER HUGHES**

10:00 **Anti-Bribery Policy: Conducting Due Diligence on Third Parties**

- What red flags should you be looking for in South Asia?
- How much is enough due diligence?
- Lessons from recent FCPA cases on third party due diligence
- Putting together a practical policy on selection and due diligence
- The importance of document management

Anusuya Yogarajah, *VP Legal Compliance, MARSH*

Duc Trang, *Lead Counsel, Asia Pacific, MOTOROLA ENTERPRISE MOBILITY SOLUTIONS*

10:30 **Anti-Bribery Policy: Conducting Compliance Checks in M&A Transactions**

- Key elements for the Acquirer
- Key elements for the Target
- Extent of due diligence
- Representations and warranties
- Post-closing issues

Andrew Martin, *Principal, BAKER & MCKENZIE. WONG & LEOW*

Andre Gan, *Associate Principal, WONG & PARTNERS*

Harun Reksodiputro, *Associate Principal, HADIPUTRANTO, HADINOTO & PARTNERS*

11:10 Refreshment Break

11:30 **Internal Investigations: Managing the Procedure from Start to Finish**

- Knowing when to investigate and reacting the right way
- Minimising any impact on the business
- Managing relationships effectively
- Maintaining privilege and other legal rights

12:00 **Cultural Challenges & Fraud**

- How culture can become an obstacle in detecting and preventing fraud
- A true story and experience from a Whistleblower's perspective
- How to deal with fraud
- Lessons learnt and the way forward

George Ghines, *Founder & Allied Partner, ALLIED EXPERTISE*

12:30 Lunch

14:00 **Roundtables:**

Each 45 mins: One Rotation (14:45 – 15:00 Refreshment Break)

1) Training & Communication Programmes for Motivating Employees and Business Partners

2) Working with Compliance Monitors

3) Managing Anti-Bribery Compliance Documentation to be able to Prove Compliance at any Time

4) Managing Bribery within Public – Private Partnership Projects

15:45 Refreshment Break

16:00 **The Compliance Challenge at Siemens**

- Status of compliance programme
- Set up of global compliance organisation
- Implementation of compliance reviews
- Status rollout of anti-corruption - implementation kit
- Compliance-related incentive system
- Collective actions / NGO co-operation

Kenny Mok, *Regional Compliance Officer, SIEMENS**

16:30 **Dealing with the Aftermath of an Investigation**

- Efficiency and remediation
- Ensuring that all problems are addressed
- Putting a corrective plan in place

Arjun Scindia, *Senior General Counsel, GENERAL ELECTRIC*

17:00 Close of Conference

Please note that programme & speakers are subject to change. Please check www.AntiCorruptionAsia.com for the most up to date agenda. * Under invitation at time of print.

Who Should Attend?

This conference has been specifically designed for:

- MNCs operating in South Asia, including subsidiary companies
- Local companies operating throughout South Asia
- Asian-owned suppliers, resellers or agents to MNCs

It is a must-attend conference for:

- **International, General, Regional & Legal Corporate Counsel**
- **Legal and Regulatory Compliance Director**
- **Head of Ethics & Compliance**
- **Finance Director**
- **Head of Internal Audit/ Risk Management/ Corporate Governance**
- **Head of Fraud & Investigation**
- **Head of Business Conduct**
- **Company Secretary**
- **And their legal and forensic advisers**

About Ethical Beacon



"World-class, Market-driven Legal, Compliance & Ethics conferences for Asia Pacific"

Ethical Beacon is dedicated to delivering the most innovative, insightful & valuable must-attend conferences and training events in the areas of compliance and anti-corruption in Asia. Our compliance and anti-corruption events have time and again been first-to-market, leading the way in practical education for In-house Counsel and Compliance Executives across APAC. Ethical Beacon's events create a unique platform that brings together forward-thinking practitioners from key industries with a common goal of creating and implementing better compliance in Asia and turning corporate ethics and compliance into a competitive advantage for their businesses. Visit www.EthicalBeacon.com for details.

Pre-Conference Workshops 29 SEPTEMBER 2009

09:00 Registration & Coffee

Workshop A: 09:30-12:30

International & Regional Anti-Bribery Laws & Compliance Challenges

The morning workshop has been designed to provide you with a thorough overview of the international laws governing bribery and highlight your exposure under these regulations. You will discover where the Regulators are focusing and, therefore, what you should focus on in your compliance programme. You will also focus on regions in South East Asia that have anti-corruption initiatives, what the local laws say about bribing public officials and private-to-private bribery.

The second part of the workshop will focus on the practical elements of conducting a bribery investigation and also developing an effective compliance programme based on the experiences of several speakers from the US and South East Asia.

- Review of the FCPA, OECD and UN Conventions and other international conventions
- Recent cases and trends examined
- Where are international regulators focusing their attention?
- Which defences have been successful?
- Overview of anti-corruption laws across South East Asia
 - Which countries have anti-corruption laws?
 - Which countries prohibit commercial bribery?
 - Which countries are actively prosecuting foreign bribe payers?
 - Where are the Regulators focusing their attention?

11:00 Refreshment Break

- How to conduct a bribery investigation in South East Asia
- What constitutes an effective compliance programme in South East Asia?
- Discussion and questions

Workshop Leaders:
BAKER & MCKENZIE

12:30-14:00 Lunch

Workshop B: 14:00-17:00

“Off the Record” Anti-Bribery Forum

This is the ideal forum for you to ask questions and learn in an open and confidential environment. This forum will be led by totally independent facilitators and will bring together In-house Counsel from across the regions from a range of industries to facilitate discussion and exchange information and ideas. This is a rare opportunity to genuinely discuss your compliance programmes. The forum is restricted to In-house Counsel and Compliance Officers Only. The forum will not be recorded nor reported upon and session size is limited in order to achieve an effective sharing platform. Book early to hold your place.

Workshop C: 14:00-17:00

How to Work with Enforcement Agencies

A workshop designed specifically for external lawyers with clients who, directly or through a 3rd party, are required to comply with the Foreign Corrupt Practices Act and/or regional anti-corruption laws. By attending, you will discover how you can effectively work with the Agencies to bring about improved outcomes for your clients and learn what is expected of you in appearing before the Enforcement Agencies.

17:00 End of Workshop

Senior Sponsor:

BAKER & MCKENZIE

Baker & McKenzie defined the global law firm of the 20th century and is redefining it to meet the needs of the global economy of the 21st century. The combination of our unparalleled global coverage and extensive local knowledge - gained from over 40 years of operating in the region - gives us a unique perspective with which to assist our clients master the challenges and opportunities of doing business in Asia.

In Singapore, Indonesia and Malaysia our member firms are staffed with both domestically and internationally qualified lawyers, who mix local knowledge with a dynamic international outlook placing them in an excellent position to advise our clients whether they need domestic, regional or global advice.

We are therefore able to provide a unique cross-border service in relation to compliance and corruption issues around the world. Our local insight enables us to address country-specific challenges and our global perspective enables us to help clients comply with any cross-border requirements that may apply such as the United States FCPA. Our services include developing compliance programs and conducting training, legal audits and internal investigations, as well as structuring transactions that are compliant with any relevant legislation. With 3,900 lawyers in 39 countries, we can assist with compliance issues on a truly global basis. To find out more about how Baker & McKenzie can add value to your business, please visit www.bakernet.com

Supporting Sponsors:



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The Red Flag Group is an Asian based Ethics & Compliance firm that provides a range of products and services to assist clients with compliance challenges in Asia.

Our focus is on conducting FCPA and Anti-Bribery risk assessments across Asia and helping clients create and implement business-focused FCPA & Anti-Bribery compliance systems. We also provide multi-language training, workshops and eLearning. Led by former in-house counsel, The Red Flag Group understands the pressures of developing effective compliance systems in Asia in a culturally sensitive, practical and cost effective manner.

For details, please visit www.redflaggroup.com

Business Development Opportunities

Business development and speaking opportunities for law firms, advisory firms and management consultants. If lawyers, in-house counsel and compliance officers from multinational corporations are your client base, this timely event is the right place to secure business from them.

We have various effective business development options to meet your marketing requirements. Please contact us for details:

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Please do not cover Promotion Code

FS537MM SCCA

I will attend the summit:

- 2 day Conference Plus Workshops (29 Sept – 1 Oct 2009)
- Workshop A: **International & Regional Anti-Bribery Laws & Compliance Challenges**
- Workshop B: **“Off the Record” Anti-Bribery Forum**
OR
- Workshop C: **How to Work with Enforcement Agencies**
- 2 day Conference (30 Sept – 1 Oct 2009)

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Fee Table	Register by 19 June 2009	Register by 17 July 2009	Thereafter
Conference + 1 Day Workshop	US\$2,295	US\$2,495	US\$2,595
Conference Only	US\$1,795	US\$1,895	US\$1,995

Please indicate your choice of roundtables on day two (you may attend 2 roundtables)

- 1) Training & Communication Programmes
- 2) Working with Compliance Monitors
- 3) Managing Anti-Bribery Compliance Documentation
- 4) Managing Bribery within Public-Private Partnership Projects

On-site registration: US\$200 surcharge will be collected and no discounts are available.* Early bird rates are contingent upon payment being received within 14 days from the registration date. All discounts can only be applied at the time of registration and discounts cannot be combined. In the event that more than one discount is applicable, delegates will receive the discount with the highest value. All discounts are subject to approval. Please note the conference fee does not include travel or hotel accommodation costs.

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HOTEL INFORMATION

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